

Board of Management Audit & Assurance Committee

| | |
|---------------------------|--|
| | |
| Date of Meeting | Tuesday 24 May 2022 |
| Paper No. | AAC5-G |
| Agenda Item | 5.5 |
| Subject of Paper | Internal Audit Progress Report |
| FOISA Status | Disclosable |
| Primary Contact | David Archibald, Henderson Loggie |
| Date of production | 18 May 2022 |
| Action | For Discussion/Decision |

1. Recommendations

The Committee is asked to consider the attached Internal Audit Progress Report.

City of Glasgow College

Internal Audit Progress Report

Audit and Assurance Committee - 24 May 2022

Issued: 18 May 2022



Internal Audit Progress Report

May 2022

Progress in delivering the annual plan for 2021/22 is shown below.

| Audit Area | Planned reporting date | Report status | Report Number | Overall Conclusion | Audit and Assurance Committee | Comments |
|---|-------------------------|--|---------------|--------------------|-------------------------------|---|
| Audit Needs Assessment and Strategic Plan 2021 to 2024 | March 2022 | Draft 17/02/22 2 nd Draft 25/02/22 Final 02/03/22 | 2022/01 | N/A | 02/03/22 | |
| Annual Plan 2021/22 | March 2022 and May 2022 | Draft 17/02/22 2 nd Draft 25/02/22 Final 18/05/22 | 2022/02 | N/A | 02/03/22 and 24/05/22 | |
| Business Continuity | September 2022 | Draft 13/05/22 Final | 2022/03 | | | The draft report has been issued for management comment and will be submitted to the September 2022 meeting of the Committee. |
| Corporate Planning | May 2022 | Draft 18/05/22 Final 18/05/22 | 2022/04 | Good | 24/05/22 | |
| Internal Communications | November 2022 | | | | | Fieldwork scheduled for August 2022. |
| Health & Safety | September 2022 | | | | | Fieldwork scheduled for July 2022. |
| Fraud Prevention, Detection and Response | September 2022 | | | | | Fieldwork scheduled for early August 2022. |



| Audit Area | Planned reporting date | Report status | Report Number | Overall Conclusion | Audit and Assurance Committee | Comments |
|--|------------------------|---------------|---------------|--------------------|-------------------------------|---|
| Business Development / International Activities | September 2022 | | | | | Fieldwork scheduled for early August 2022. |
| Data Protection | November 2022 | | | | | Fieldwork scheduled for September 2022. |
| IT / Digital Strategy | September 2022 | | | | | Fieldwork scheduled for July 2022. |
| Follow-Up Reviews | September 2022 | | | | | Fieldwork scheduled for August 2022 |
| Credits | November 2022 | | | | | Fieldwork will be conducted in September 2022 |

Gradings are defined as follows:

| | |
|-----------------------------|---|
| Good | System meets control objectives. |
| Satisfactory | System meets control objectives with some weaknesses present. |
| Requires improvement | System has weaknesses that could prevent it achieving control objectives. |
| Unacceptable | System cannot meet control objectives. |



info@hlca.co.uk
hlca.co.uk

Aberdeen 45 Queen's Road AB15 4ZN
Dundee The Vision Building, 20 Greenmarket DD1 4QB
Edinburgh Ground Floor, 11-15 Thistle Street EH2 1DF
Glasgow 100 West George Street, G2 1PP

T: 01224 322 100 **F:** 01224 327 911
T: 01382 200 055 **F:** 01382 221 240
T: 0131 226 0200 **F:** 0131 220 3269
T: 0141 471 9870

Henderson Loggie LLP is a limited liability partnership registered in Scotland with registered number SO301630 and is a member of PrimeGlobal, a global association of independent accounting firms, the members of which are separate and independent legal entities. Registered office is: The Vision Building, 20 Greenmarket, Dundee, DD1 4QB. All correspondence signed by an individual is signed for and on behalf of Henderson Loggie LLP. Reference to a 'partner' is to a member of Henderson Loggie LLP. A list of members' names is available for inspection at each of these addresses.

